

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Xiao-An Zhang et al

Confirmation No.: 9152

Application No.: 10/614855

Examiner:  
T.L. Rude

Filing Date: July 7, 2003

Group Art Unit:

Title: Controllable and Organized 3-D Molecular Assembly and its Applications for Molecular Display and Moletronics

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
  - ( ) Statement under 37 CFR 1.97(e), or
  - (X) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:
  - ( ) Statement under 37 CFR 1.97(e)(1) or (2), and
  - ( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV 665303347

Date of Deposit Jan. 3, 2006

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: L.B. Raphael

Respectfully submitted,

Xiao-An Zhang et al

By

David W. Collins

David W. Collins

Attorney/Agent for Applicant(s)

Reg. No. 26857

Date: Jan. 3, 2006

## PATENT APPLICATION

Sheet 1 of 5

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ATTY. DOCKET NO.

200300074-1

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9152

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

APPLICANT

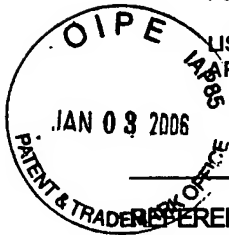
XIAO-AN ZHANG ET AL

FILING DATE

7/7/2003

GROUP

2823



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,947,205	9/20/2005	Zhang et al	
	1B	6,556,470	4/29/2003	Vincent et al	
	1C	6,731,532	5/4/2004	Vincent et al	
	1D	6,876,570	4/5/2005	Vincent et al	
	1E	6,822,893	11/23/2004	Vincent et al	
	1F	6,853,577	2/8/2005	Vincent et al	
	1G	6,809,956	10/26/2004	Vincent et al	
	1H	6,674,932	1/6/2004	Zhang et al	
	1I	6,701,035	3/2/2004	Zhang et al	
	1J	6,763,158	7/13/2004	Zhang et al	
	1K	6,888,978	5/3/2005	Zhang et al	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
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	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200300074-1</b>	APPLICATION NO. <b>10/614,855</b>	CONFIRMATION NO.
	APPLICANT <b>XIAO-AN ZHANG ET AL</b>		
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	2A	6,920,260	7/19/2005	Zhang et al	
	2B	6,805,817	10/19/2004	Zhang et al	
	2C	6,937,357	8/30/2005	Vincent et al	
	2D	6,850,230	2/1/2005	Vincent et al	
	2E	6,940,497	9/6/2005	Vincent et al	
	2F	6,806,453	10/19/2004	Vincent et al	
	2G	6,670,981	12/30/03	Vincent et al	
	2H	6,815,706	11/9/2004	Li et al	
	2I	6,763,158	7/13/04	Zhang et al	
	2J	6,867,427	3/15/04	Zhang et al	
	2K	6,751,365	6/15/04	Zhang et al	

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	3A	6,853,478	2/8/2005	Vincent et al	
	3B	6,795,230	9/21/2004	Vincent et al	
	3C	6,942,312	9/13/2005	Leung et al	
	3D	6,512,119	1/28/2003	Bratkovski et al	
	3E	6,624,002	9/23/2003	Bratkovski et al	
	3F	5,866,284	2/2/1999	Vincent	
	3G	6,045,955	4/4/2000	Vincent	
	3H	6,663,797	12/16/2003	Bratkovski et al	
	3I	6,757,457	6/29/2004	Zhang et al	
	3J	6,778,165	8/17/2004	Hubby et al	
	3K	6,934,424	8/23/2005	Zhang et al	

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	4A	2003-0071780	4/17/2003	Vincent et al	
	4B	2004-0095309	5/20/2004	Vincent et al	
	4C	2002-0176276	11/29/2002	Zhang et al	
	4D	2004-0080804	4/29/2004	Vincent et al	
	4E	2003-0194630	10/16/2003	Beck et al	
	4F	2004-0122233	6/24/2004	Beck et al	
	4G	2004-0084661	5/6/2004	Zhang et al	
	4H	2005-0006640	1/13/2005	Jackson et al	
	4I	2005-0194526	9/8/2005	Zhou et al	
	4J	2005-0040417	2/24/2005	Li et al	
	4K	2004-0041799	3/4/2004	Vincent et al	

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	5B	2005-0052983	3/10/2005	Vincent et al	
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